



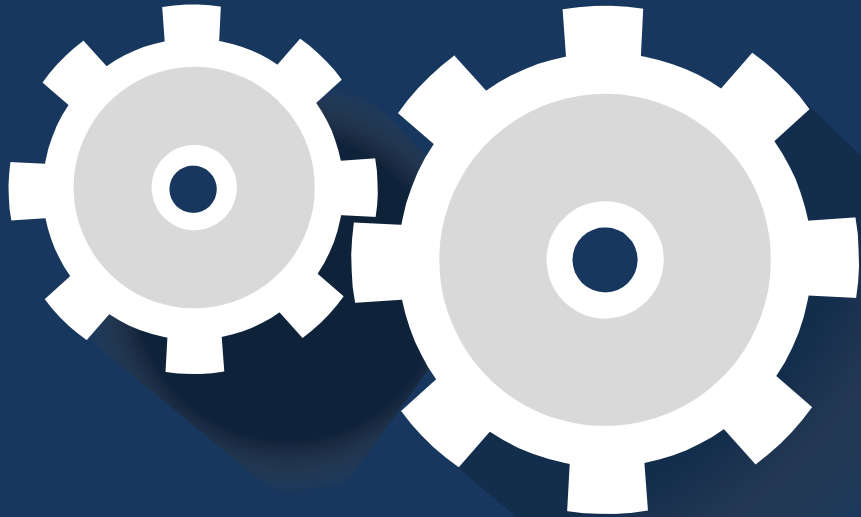
Disaster Recovery Workshop

Kevin Guthrie, Director

Florida Association of Counties

June 29, 2022

Agenda



- **Small Business Administration Assistance**
- **Individual Assistance**
- **Public Assistance**
- **Florida Recovery Obligation Calculation (F-ROC)**



Small Business Administration Assistance

DISASTER ASSISTANCE

- Businesses
- Homeowners
- Renters
- Nonprofits



Overview of SBA Declarations



	Presidential – Individual Assistance	Presidential – Public Assistance	Administrative	Governor’s Certification	Secretary of Agriculture	Secretary of Commerce
When can this declaration be requested?	Automatic when a Major Disaster is declared	Automatic when a Major Disaster is declared	Within 60 days of the incident, when damage assessments show 25 or more homes &/or businesses have sustained uninsured losses of 40% or more	Within 120 days of the incident, when damage assessments show that at least 5 small businesses have suffered substantial economic injury	Automatic when SBA is notified of a USDA Secretary declaration	When at least five small businesses have suffered substantial economic injury as a result of an incident
Eligible for Physical Damage Loans?	Yes, only in Primary Counties	Yes, only in Primary Counties	Yes, in both Primary & Contiguous Counties	No	No	No
Eligible for Economic Injury Disaster Loans (EIDL)?	Yes, for small businesses, small agricultural cooperatives, small businesses engaged in aquaculture & most private non-profit organizations (PNPs), except those engaged in aquaculture	Yes, for PNPs that provide non-critical services, food kitchens, museums, colleges, shelters, etc. (Non-profit organizations engaged in aquaculture are not eligible)	Yes, for small businesses, small agricultural cooperatives, small businesses engaged in aquaculture & most PNPs, except those engaged in aquaculture	Yes, for small businesses, small agricultural cooperatives, small businesses engaged in aquaculture & most PNPs, except those engaged in aquaculture. (Nurseries are eligible in drought declarations)	Yes, for small businesses, small agricultural cooperatives, small businesses engaged in aquaculture & most PNPs, except those engaged in aquaculture. (Nurseries are eligible in drought declarations)	Yes, for small businesses, small agricultural cooperatives, small businesses engaged in aquaculture and most PNPs, except those non-profits engaged in aquaculture.
Counties included for EIDL	Primary & Contiguous Counties	Primary Counties Only	Primary & Contiguous Counties	Primary & Contiguous Counties	Primary & Contiguous Counties named in Sec. of Ag declaration	Primary & Contiguous Counties
Deadline for Survivors to Apply	60 days for physical damages, 9 months for EIDL	60 days for physical damages, 9 months for EIDL	60 days for physical damages, 9 months for EIDL	9 months	8 months	9 months



Individual Assistance

Declaration Factors

- State Fiscal Capacity and Resource Availability
- Uninsured Home and Personal Property Losses
 - Major Damage & Destroyed
 - No set threshold of homes damaged
- Disaster Impacted Population Profile
- Impact to Community Infrastructure
- Casualties
- Disaster Related Unemployment

Reference Documents:

- *Guide to Disaster Declarations*
- *Individuals Assistance Declaration Factors*

Eligible Applicants

- Individuals and households
 - Homeowners
 - Renters

Cost Share

100% Federal for IHP Housing
Assistance & Services

75% Federal/ 25% State for IHP ONA

Individual Assistance Programs

Program	Emergency Declaration	Major Disaster Declaration
Mass Care/Emergency Assistance (DFA)	✓	✓
Individuals & Households Program	✓	✓
Disaster Case Management		✓
Crisis Counseling		✓
Disaster Legal Services		✓
Disaster Unemployment Assistance		✓
Voluntary Agency Coordination	✓	✓

Individuals & Household Program

Housing Assistance

- Lodging Expense Reimbursement
- Rental Assistance
- Home Repair Assistance
- Home Replacement Assistance
- Direct Housing

Other Needs Assistance

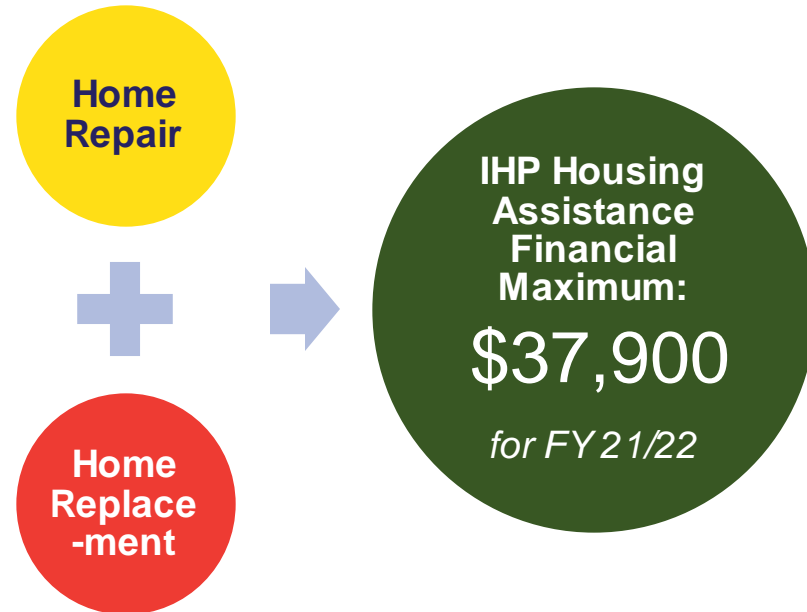
- Transportation Repair & Replace
- Funeral Expenses
- Child Care Assistance
- Medical & Dental Assistance
- Assistance for Miscellaneous Items
- Critical Needs Assistance

Reference Documents:

- *FEMA Help After a Disaster Brochure*

IHP – Housing Assistance (18 months)

Financial Assistance



No Maximum Award:

- Lodging Expense Reimbursement
- Rental Assistance
- Home Repair Accessibility Items

Direct Assistance

- Temporary Housing Units
- Multi-Family Lease & Repair
- Direct Lease
- Permanent Housing Construction

Reference Documents:

- *Local Planning Considerations for Disaster Housing*

IHP – Other Needs Assistance

Non-SBA Dependent

- Funeral Assistance - \$9,000 max per decedent
- Medical and Dental Assistance
- Child Care Assistance - \$3,000 max
- Assistance for Miscellaneous Items
- Moving and Storage Assistance
- Critical Needs Assistance - \$500
- Clean and Removal Assistance

SBA-Dependent

- Personal Property Assistance
- Transportation Repair – \$4,000 max
- Transportation Replace - \$4,700 max
- Group Flood Insurance Policy

\$37,900

Maximum ONA Award for FY 21/22

(Personal property assistance for accessibility items are not counted toward the maximum)

Other Individual Assistance Programs



**Disaster Case
Management**



Crisis Counseling



**Disaster Legal
Services**



**Disaster
Unemployment
Assistance**

A yellow Kobelco excavator is the central focus, positioned on a dirt mound. Several workers in hard hats and work clothes are scattered around the site. A large pile of bright red dirt is visible to the right. In the background, there are trees, a white truck, and a clear sky. The scene appears to be a construction or emergency response site.

Public Assistance

Declaration Factors

- Estimated cost of the assistance
- Localized impacts;
- Insurance coverage in force;
- Hazard mitigation;
- Recent multiple disasters; and
- Other federal agency assistance programs;
- County and State Threshold

\$35,107,245

State Threshold for FY 21/22
(for events declared on or after 10/1/2021)

Eligible Applicants

- State Governments
- Local Governments
- Private Non-Profits

Cost Share

75% Federal / 25% State

Reference Documents:

- *Guide to Disaster Declarations*
- *Public Assistance 101*
- *FY 21-22 PA Program Thresholds*

Assistance Available under PA



Reference Documents:

- *PA 101*

Category A – Debris Removal

Clearance, removal, and disposal, will be considered *if* the removal is in the public interest

- Eliminates immediate
 - threats to life, public health, and safety
 - threats of significant damage to public or private property
- Ensures economic recovery
- Removes substantially damaged structures



Reference Documents:

- *Debris Removal from Commercial Property*
- *Debris Removal from Private Property*
- *Debris Removal from Private Roads*

Category B – Emergency Protective Measures

Activities taken before, during, and after a disaster

- Activation and staffing of local and state EOCs.
- Overtime costs of staff used to board up windows, remove outside equipment, fill sandbags, etc.
- Mutual aid claims from outside assisting entities.
- Additional security hired for logistical staging areas, etc.
- Search and rescue operations.
- Emergency berms on beaches.

Reference Documents:

- *Sandbagging Guidance*

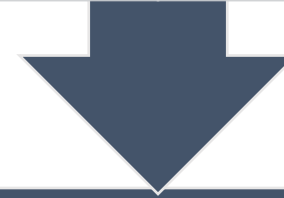
Category C – Road and Bridges



Includes:

Roads: surfaces, bases, shoulders, ditches, drainage structures, low water crossings, etc.

Bridges: decking, pavement, piers, girders, abutments, slope protection, approaches, etc.



Does *not* include:

Unmaintained roads/bridges

FHWA roads/bridges

Private roads, with exceptions

Reference Documents:

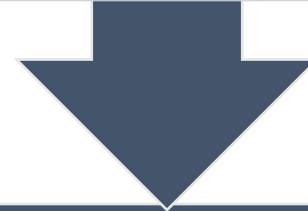
- *Submerged Roads Policy Guidance*

Category D – Water Control Facilities



Includes:

Dams, reservoirs, levees, lined and unlined engineered drainage channels, canals, aqueducts, sediment basins, shore protective devices, irrigation/pumping facilities.



Does not include:

Facilities under the control of the USACE or NRCS or any other Federal agency with very few exceptions.

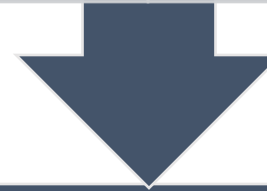
Category E – Buildings and Equipment



Includes:

Buildings, structural components, interior electrical or mechanical work, and contents.

Replacement/repair of vehicles and equipment damaged by the disaster or damaged in the response.



Does not include:

Damages covered by insurance.

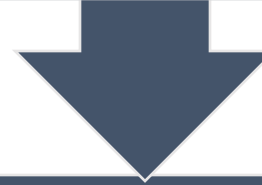
Accidental damages/neglect.

Category F – Utilities



Includes:

Water treatment plants and delivery systems, power generation and distribution facilities, sewage collection systems and other treatment plants, communications.



Does not include:

Loss of revenue expenses. (i.e. time off-line).

Increased operating costs. (i.e. obtaining water/electricity from alternate source).

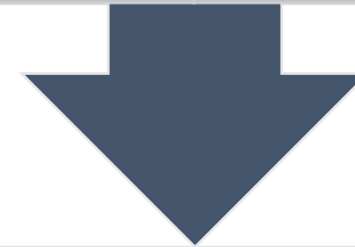
Category G – Parks & Recreation, Other



Includes:

Mass transit facilities, swimming pools, playgrounds, tennis courts, piers, docks, picnic tables, golf courses, walkovers, anything not in Categories C – F.

Beach Restoration.



Does not include:

Replacement of trees, shrubs, ground cover unless for slope stabilization or to minimize erosion.

PNP-owned park and recreational facilities.

Category G – Parks & Recreation, Other



Beaches and Related Facilities

- Engineered, non-federal beaches only.
- Other agency coordination required: DEP, USACE and any other required permitting.
- Possible 406 Mitigation Opportunities (related facility - walk-overs, piers, docks).
- Proof of pre-disaster condition is required.

Category Z – Management Costs

5%

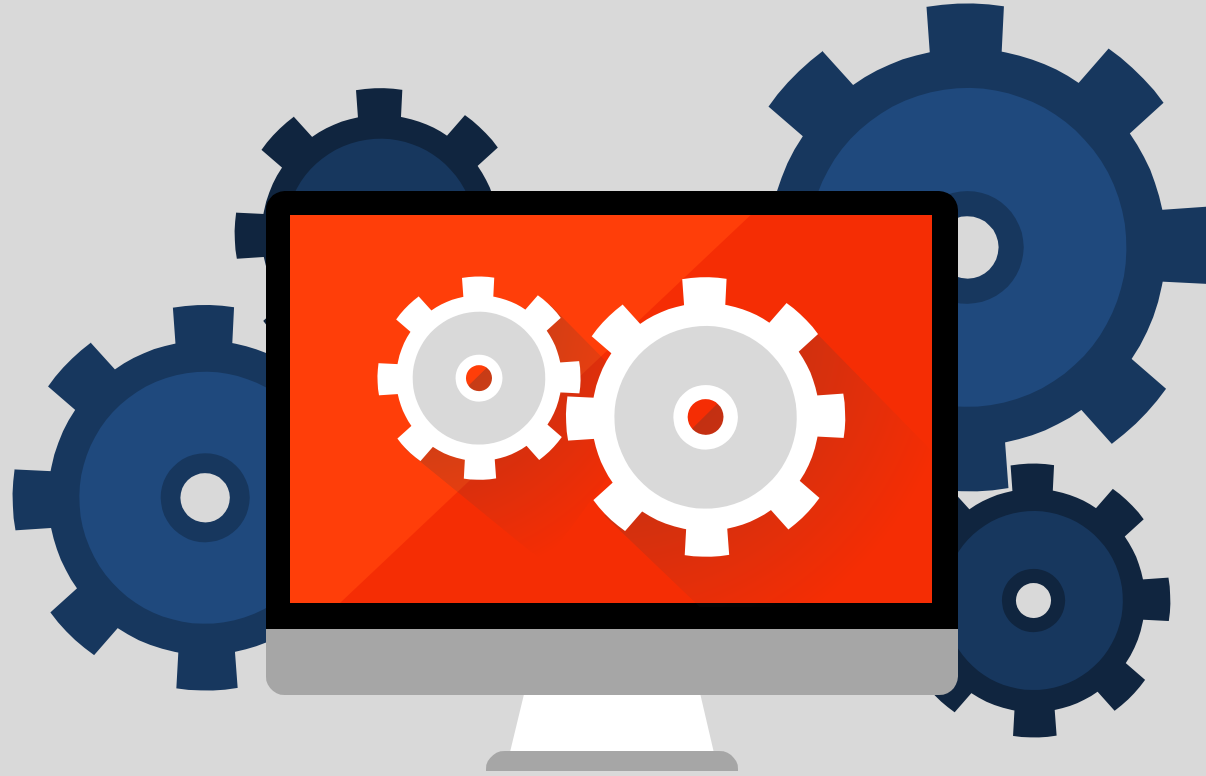
of total award amount

Eligible Activities

Activities related to developing eligible PA Projects and receiving reimbursement

Reference Documents:

- *FEMA Policy 104-11-2, Public Assistance Management Costs*



Florida Recovery Obligation Calculation

Reducing Risk. Building Resilience.



THE FLORIDA DIVISION OF EMERGENCY MANAGEMENT



F-ROC - The WHY



Key Points

F-ROC is a concept developed to help Public Assistance Applicants leverage technology, improve processes and ensure that recovery dollars are flowing to affected communities as quickly as possible with the least amount of financial risk.



Risk Evaluation

F-ROC will help evaluate an Applicant's financial and programmatic risk, starting with Categories A and B.



Risk Reduction

F-ROC is intended to reduce risk for all applicants related to negative audit findings and common de-obligations.



Customer Service

Assists all applicants in identifying risk within their organization and developing a clear action plan which will abate those risks.



Standardization

Identify a clear, standardized format for applicants to present project data.



The F-ROC Formula

The purpose of F-ROC is to reduce applicant risk and simplify the Public Assistance Process by following a simple formula.

**OUR #1 GOAL:
RAISE THE B.A.R.**

B
Baseline

A
Abatement
Activities

R
Recovery
Score

Baseline – 20% Pre-obligation validation by FEMA.

Abatement – a set of specific actions an applicant may take based on the assessment to help increase their score.

Recovery Score – the rating that drives post disaster pre-audit payout.



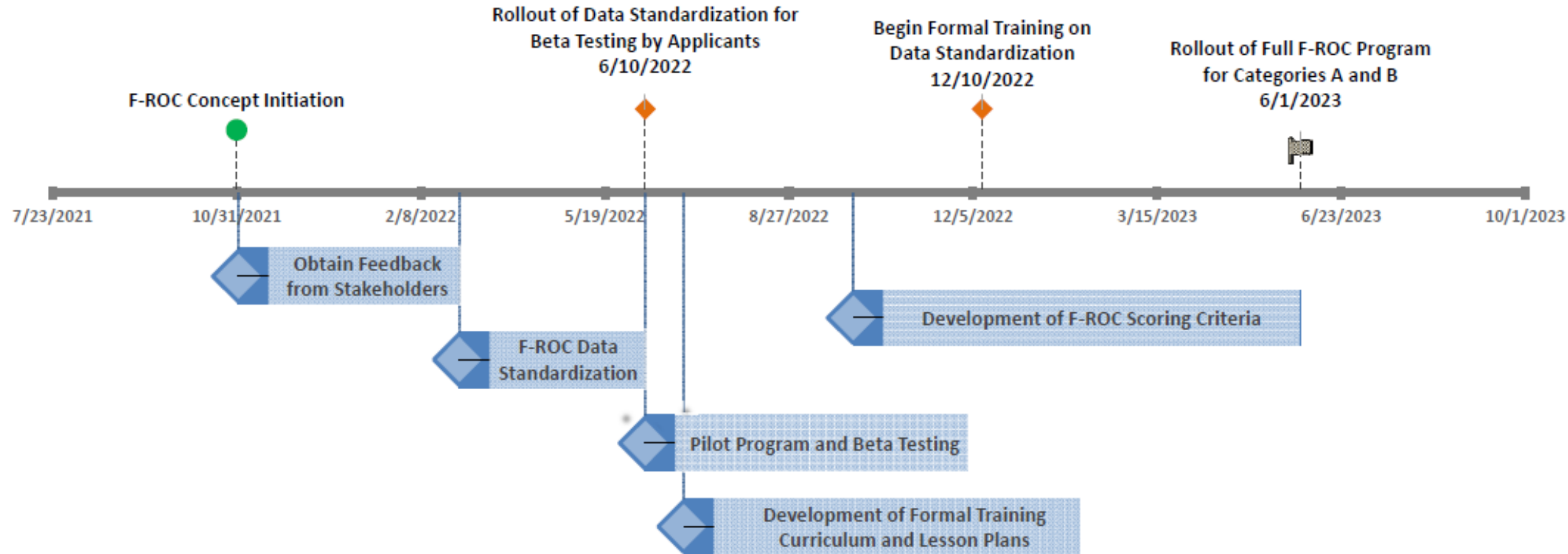
Examples of F-ROC Criteria

Abatement

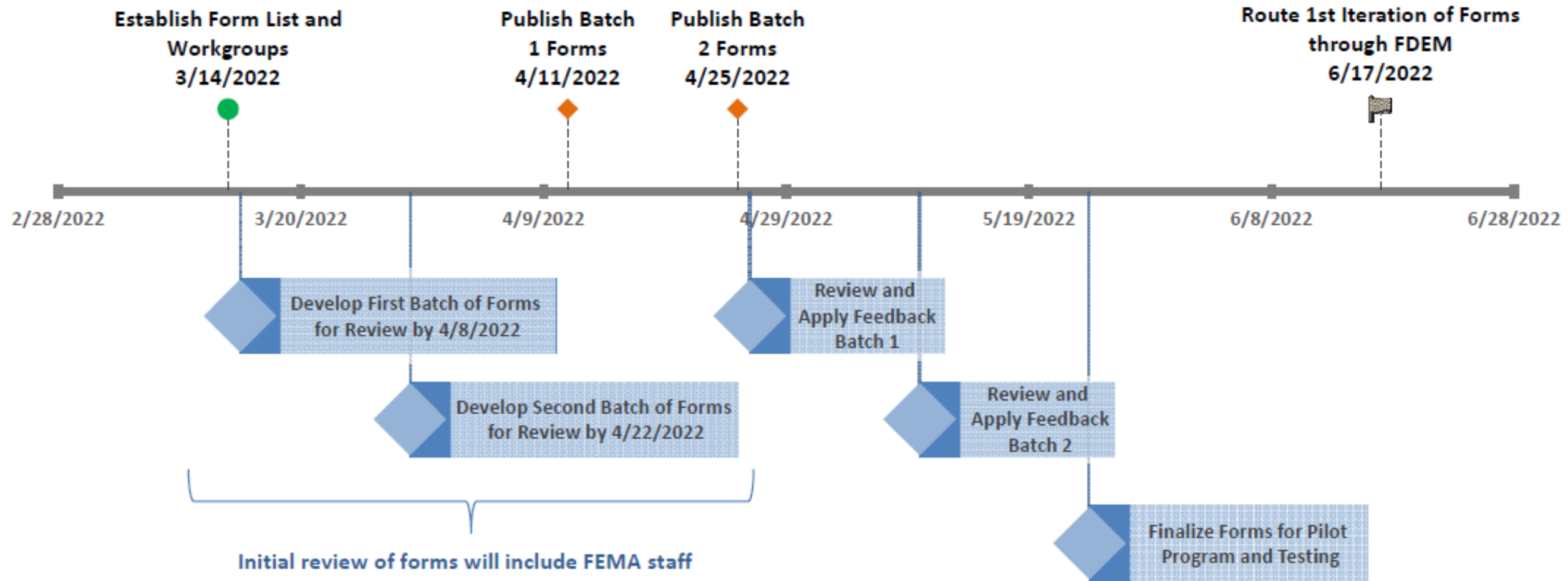
- SVI/Equitable Distribution
- Execution of relevant plans
 - Response
 - Recovery
 - Mitigation
 - Long-Term Recovery
- **Use of standardized forms**
- Contracts – Compliance with
- Policies
- Implementation of processes
- **Training**
- 406 Mitigation Projects



Projected F-ROC Timeline of Events



F-ROC Data Standardization: Cat A & B



Request for Quotes: F-ROC Training



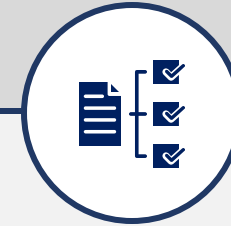
Training Objectives

- Develop F-ROC Form and Project Formulation Training Materials
- Provide world class training on FDEM PA Forms/Project Formulation in ALL Florida Counties



Timeline

- 4/29/2022: Distribute RFQ
- 5/20/2022: Contractors Provide Quotes
- Early 2023: Training Begins



Next Steps

- Participate in Training Offerings
- 2022 F-ROC Pilot Program
- Stay up to date through F-ROC@em.myflorida.com

F-ROC “Beta Test” Program

01



Goal #1: Diverse Applicant Pool

- Small/medium/large counties, Municipalities, PNP's
- FDEM PA Forms will be available to everyone

02



Goal #2: Identify the Bugs

- Every new process has flaws
- Beta Testing will find and resolve them quickly

03



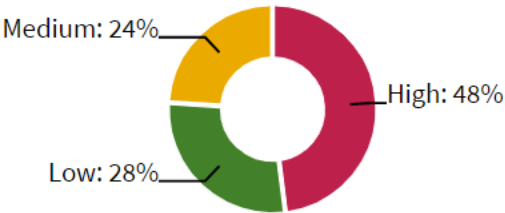
Goal #3: Improve the Process

- An essential component of the F-ROC process is continuous improvement

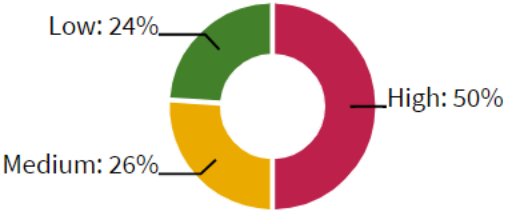


DRAFT: F-ROC Dashboard

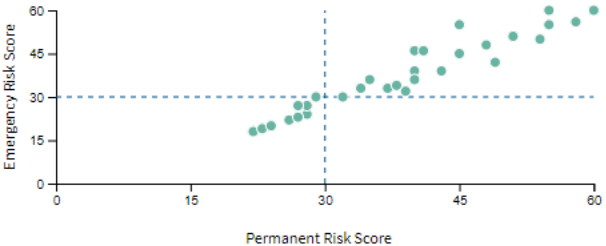
Average Emergency Risk Rating



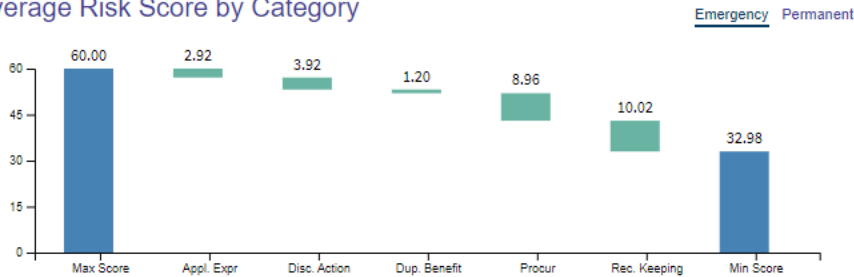
Average Permanent Risk Rating



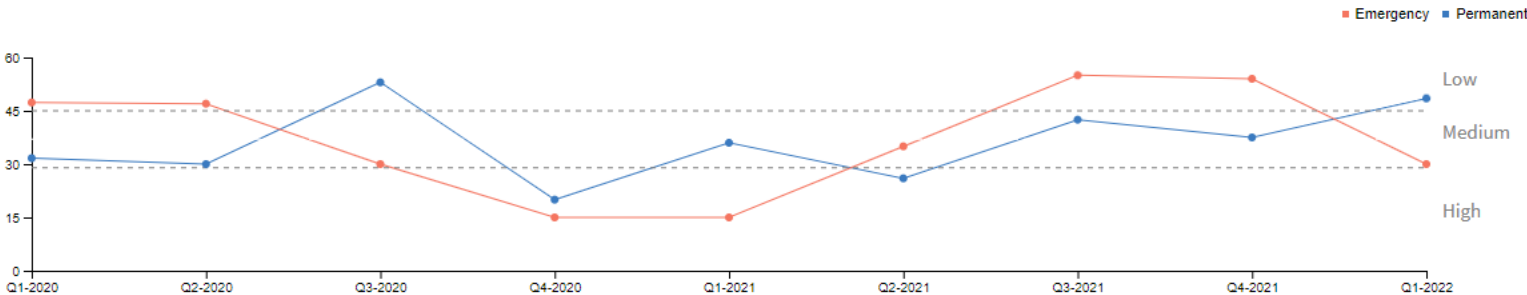
Applicants by Risk Scores



Average Risk Score by Category



Average Risk Score Over Time



Survey History

Organization	Applicant	Organization Type	Date Submitted	Emergency Work Risk Score	Permanent Risk Score	
Organization 27	Kevin Chin	Local Government	4/8/2022 1:16:40 PM	Medium	Medium	View

How can I stay informed?

Have feedback
or ideas?

Want to receive
updates?

Want to implement a
similar program?



Please send an email to F-ROC@em.myflorida.com.





Thank you!

Kevin Guthrie

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